FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No.

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

2732-143

U.S. Application No. (if known, see 37 CFR 1.5)

<u>10/511628</u>

INTERNATIONAL APPLICATION NO. PCT/EP03/04006

INTERNATIONAL FILING DATE April 16, 2003

PRIORITY DATE CLAIMED April 19, 2002

TITLE OF INVENTION SECURITY ELEMENT

APPLICANT(S) FOR DO/EO/US Walter SCHNEIDER

Applicant herewith submits to the	United States Designated/Elected	d Office (DO/EO/US) the followi	ng items and other information:
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- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [X] is attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [X] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - o. [ ] have been communicated by the International Bureau.
  - c. have not been made; however, the time limit for making such amendments has NOT expired.
  - . [ ] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [X ] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## ITEMS 11. TO 20. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment w/substitute specification (clean and marked-up versions).
- 14. [X] An Application Data Sheet under 37 CFR 1.76.
- 15. A substitute specification.
- 16. A power of attorney and/or address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
- 18. [ ] A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [X ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X ] Other items or information: Front Page of WO 03/089250 A2, ISR, IPER, PCT Request

U.S. APPLICATION NO (If thorage)	11628	INTERNATIONAL APPLICA PCT/EP03/04006	TION NO.	ATTORNEY DOCKET NO. 2732-143			
21. [X] The following fee Basic National Fee (37 ( Neither international preli- nor international search fee International Preliminary but International Preliminary but international preliminary but international search fee International preliminary but claims did not satisfy International preliminary and all claims satisfied pr	CALCULATIONS	PTO USE ONLY					
Surcharge of \$130.00 for fur months from the earliest clai	\$950.00 \$						
Claims	Number Filed	Number Extra	Rate		<u> </u>		
Total Claims	21-20 =	1	X \$18.00	\$18.00			
Independent Claims	2-3=		X \$88.00	\$			
Multiple dependent claim(s	) (if applicable)		+ \$300.00	\$			
	\$18.00						
Applicant claims small above are reduced by 1	\$						
SUBTOTAL =				\$			
Processing fee of \$130.00 fo months from the earliest clai	\$						
	\$968.00						
Fee for recording the enclose accompanied by an appropri	\$						
	\$						
				Amount to be refunded	\$		
				charged	\$968.00		
a. A check in the amount of \$ to cover the above fees is enclosed.  b. A check in the amount of \$ to cover the above fees is enclosed.  c. A duplicate copy of this sheet is enclosed.  c. A duplicate copy of this sheet is enclosed.  c. A duplicate copy of this sheet is enclosed.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Customer No. 6449  George R. Repper Name  Rothwell, Figg, Ernst & Manbeck							
1425 K St., N.W.  Weshington, D.C. 20005							
Phone: 202/783-6040 Registration Number							